**University of Richmond Financial Interests Disclosure Statement**

Required by the University’s policy on Financial Conflict of Interest in Grant Funded Projects ([http://grants.richmond.edu/policies/index.html](http://grants.richmond.edu/policies/index.html))

Name: __________________________________________________

I am reporting on activities:  
☐ for the year ______  
☐ as an addendum to my most recent report

<table>
<thead>
<tr>
<th></th>
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<th>1. Compensation (including travel expenses). Have you or a member of your Family received compensation from a for-profit entity for activities such as consulting, expert witness, advisory board membership, and the like? If yes, furnish information on an additional page.</th>
</tr>
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<tbody>
<tr>
<td>☐ yes</td>
<td>☐ no</td>
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<th>2. Equity. Do you or a member of your family own stock or hold stock options with a publicly traded or privately owned entity? If yes, furnish information on an additional page. *</th>
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<td>☐ yes</td>
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<th>3. Role. Do you or a member of your family serve as a director, trustee, officer or other key employee in a for-profit corporation, partnership, business, or other entity outside of the University of Richmond. If yes, furnish information on an additional page.</th>
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<tr>
<td>☐ yes</td>
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<th>4. Intellectual Property. Do you or a member of your family have rights to and/or receive royalties from intellectual property (including, patents copyrights and trademarks but excluding academic or scholarly works) licensed to and/or owned by a for-profit entity? Do NOT include intellectual property owned or managed by the University of Richmond. If yes, furnish information on an additional page.</th>
</tr>
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<tr>
<td>☐ yes</td>
<td>☐ no</td>
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*Reminder: The term “Significant Financial Interest” does not include income from investment vehicles such as mutual funds or retirement accounts, as long as the Investigator does not directly control the investment decisions made in these vehicles. Please see the policy for more explicit guidance.*

**Certification:**

I have read and understand the University of Richmond’s policy on Financial Conflict of Interest in Grant Funded Projects and have completed this report to the best of knowledge and belief. If required, I will comply with any conditions or restrictions imposed by the University of Richmond to manage any real or potential conflicts. Should my outside financial or managerial interests, or those of my Family, change in a way that results in different answers to any of the questions asked in this report, I agree to submit a revision.

____________________  ____________________  ____________________
(date)  (signature)  (signature)
Addition to Financial Interests Report of: ________________________________

Reporting for   ☐ self    ☐ family member:
name: __________________________________________
relationship: __________________________________

Name of External Entity: ____________________________________________
Address of External Entity: __________________________________________

Type of external relationship: (check all that apply)

☐ Consultant
☐ Speaker
☐ Advisory Board or Committee
☐ Equity Holdings
☐ Governing Board or Officer
☐ Intellectual Property Rights
☐ Royalty Income
☐ Other (describe below)

Amount of compensation or financial interest in reporting period: $ ____________________________

If travel paid by entity:
Destination ____________________________________________
Amount $ ____________________________

Comments or explanatory information: